

Form PTO-1449 (Modified) Atty Docket No.: 003386.P010 Serial No.: 09/754,385

List of Patents and Publications Statement  
(Use several sheets if necessary)  
Page 1 of 8

Applicant:  
Jonathan M. Goldberg, et al.  
Filing Date: January 8, 2001

# REFERENCE DESIGNATION

# U.S. PATENT DOCUMENTS

Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	AA	4,914,586	Swinehart et al.	364 107	200 101	11/06/1987
	AB	4,970,681	Bennett	364 107	900 3	10/20/1987
	AC	5,051,891	MacPhail	364 107	200 200	12/23/1987
	AD	5,247,575	Sprague, et al.	380 105	2 53	04/24/1992
	AE	5,247,661	Hager, et al.	395 107	600 104	09/10/1990
	AF	5,251,131	Masand, et al.	364 104	419 087	07/31/1991
	AG	5,251,159	Rowson	364 103	578 14	03/20/1991
	AH	5,276,869	Forrest, et al.	395 107	600 206	09/10/1990
	AI	5,297,057	Kramer	364 103	542 7	11/13/1991
	AJ	5,331,579	Maguire, Jr. et al.	364 103	578 2	04/02/1993
	AK	5,333,237	Stefanopoulos, et al.	395 106	12 11	06/08/1992
	AL	5,428,740	Wood et al.	395 345	161 440	10/27/1994
	AM	5,438,526	Itoh, et al.	364 116	578 20	07/07/1992
	AN	5,473,732	Chang	395 106	77 59	02/07/1995
	AO	5,481,741	McKaskle, et al.	395 345	800 52	09/22/1993
	AP	5,488,725	Turtle, et al.	395 107	600 5	03/30/1993
	AQ	5,493,729	Nigawara, et al.	395 106	61 52	07/20/1994
	AR	5,513,126	Harkins, et al.	364 107	514A20	10/04/1993
	AS	5,530,852	Meske, Jr. et al.	395 107	600 206	12/20/1994
	AT	5,541,836	Church, et al.	364 104	419 077	12/30/1991
	AU	5,544,067	Rostoker, et al.	364 103	489 14	06/14/1993
	AV	5,555,426	Johnson, et al.	395 107	200 206	02/23/1995
	AW	5,778,364	Nelson	707	6	01/02/1996
	AX	5,586,218	Allen	395 106	10 12	08/24/1995
	AY	5,608,900	Dockter, et al.	395 107	013 02	06/21/1994
	AZ	5,628,011	Ahmed, et al.	395 107	610 10	01/04/1993
	BA	5,659,731	Gustafson	395 107	804 4	06/19/1995
	BB	5,659,732	Kirsch	395 107	605 5	05/17/1995
	BC	5,692,107	Simoudis, et al.	395 106	50 12	09/20/1996
	BD	5,704,017	Heckerman, et al.	395 106	81 12	02/16/1996

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*[Signature]*

Date Considered

11/18/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

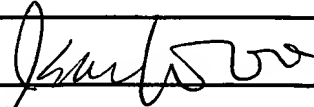
<b>Form PTO-1449 (Modified)</b>  List of Patents and Publications Statement (Use several sheets if necessary) Page 2 of 8	<b>Atty Docket No.:</b> 12 2001 003386.P040 TRADEMARK OFFICE 916	<b>Serial No.:</b> 09/754,385  <b>Applicant:</b> Jonathan M. Goldberg, et al. <b>Filing Date:</b> January 8, 2001
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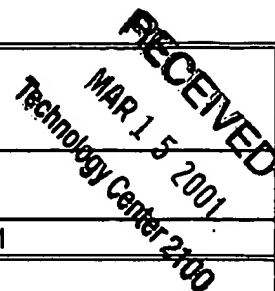
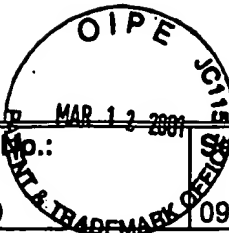
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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	BE	5,717,914	Husick, et al.	395 <del>707</del>	605 <del>5</del>	09/15/1995
	BF	5,717,923	Dedrick	395 <del>707</del>	613 <del>10</del>	11/03/1994
	BG	5,720,001	Nguyen	395 <del>706</del>	10 <del>59</del>	05/08/1995
	BH	5,724,567	Rose et al.	395 <del>707</del>	602 <del>2</del>	04/25/1994
	BI	5,727,129	Barrett, et al.	395 <del>706</del>	12 <del>10</del>	06/04/1996
	BJ	5,754,938	Herz, et al.	455 <del>725</del>	4-2 <del>116</del>	10/31/1995
	BK	5,794,210	Goldhaber, et al.	705	14	12/11/1995
	BL	5,802,518	Karaev, et al.	707	9	06/04/1996
	BM	5,812,434	Nagase, et al.	364 <del>703</del>	578 <del>2</del>	06/12/1996
	BN	5,835,087	Herz, et al.	345 <del>715</del>	327 <del>816</del>	10/31/1995
	BO	5,855,008	Goldhaber, et al.	705	14	08/28/1997
	BP	5,867,799	Lang, et al.	707	1	04/04/1996
	BQ	5,892,909	Grasso, et al.	395 <del>709</del>	200 <del>391</del>	01/31/1997
	BR	5,913,212	Sutcliffe, et al.	707	6	06/13/1997
	BS	5,931,907	Davies, et al.	709	218	01/23/1996
	BT	5,950,200	Sudai, et al.	707	9	01/24/1997
	BU	5,974,412	Hazlehurst, et al.	707	3	09/24/1997
	BV	5,995,597	Woltz, et al.	379	93.24	01/21/1997
	BW	5,999,932	Paul	707	10	01/13/1998
	BX	5,999,975	Kittaka, et al.	709	224	03/05/1998
	BY	6,006,200	Boies, et al.	705	26	05/22/1998
	BZ	6,006,221	Liddy, et al.	707	5	08/14/1996
	CA	6,014,644	Erickson	705	37	11/22/1996
	CB	6,021,439	Turek, et al.	709	224	11/14/1997
	CC	6,038,560	Wical	707	5	05/21/1997
	CD	6,026,374	Chess	705	26	05/30/1996
	CE	6,052,122	Sutcliffe, et al.	345	331	06/13/1997
	CF	6,052,709	Paul	709	202	12/23/1997
	CG	6,052,714	Miike, et al.	709	217	12/13/1996
	CH	5,924,090	Krellenstein	707	5	05/01/1997

<b>Examiner</b> 	<b>Date Considered</b> 11 / 10 / 04
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<b>Form PTO-1449 (Modified)</b>		<b>Atty Docket No.:</b> 003386.P010		<b>Serial No.:</b> 09/754,385			
<b>List of Patents and Publications Statement</b> (Use several sheets if necessary) Page 3 of 8				<b>Applicant:</b> Jonathan M. Goldberg, et al. <b>Filing Date:</b> January 8, 2001			
<b>FOREIGN PATENT DOCUMENTS</b>							
No.		Document No.	Date	Country	Class	Sub-Class	Translation
	CI	WO 99/39279	05/08/1999	PCT	G06F	17/30	No
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
w	CJ	Leonard N. Foner, "A Multi-Agent Referral System for Matchmaking", MIT Media Lab					
w	CK	Welcome to enonymous.com, Web page, "Be Privacy Aware ... Be enonymous!", <a href="http://www.enonymous.com/default.asp">http://www.enonymous.com/default.asp</a> .					
w	CL	"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAA! Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> .					
w	CM	Enonymous Web Page, "Frequently Asked Questions", <a href="http://www.enonymous.com/faq.asp">http://www.enonymous.com/faq.asp</a> .					
w	CN	Enonymous Web Page, "How enonymous advisor beta works ...", <a href="http://www.enonymous.com/howitworks.asp">http://www.enonymous.com/howitworks.asp</a> .					
w	CO	"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.					
w	CP	"Somewhat-by-topic linearization of Yenta", Leonard Foner, last modified February 11, 1997, <a href="http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html">http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html</a> .					
w	CQ	Enonymous Web Page, "the enonymous profile ..", <a href="http://www.enonymous.com/profile.asp">http://www.enonymous.com/profile.asp</a> .					
w	CR	Enonymous Web Page, "The enonymous zone ...", <a href="http://www.enonymous.com/zone.asp">http://www.enonymous.com/zone.asp</a> .					
w	CS	Enonymous Web Page, "What is enonymous advisor?", <a href="http://www.enonymous.com/whatisit.asp">http://www.enonymous.com/whatisit.asp</a> .					
w	CT	Enonymous Web Page, "Why be enonymous?", <a href="http://www.enonymous.com/whybeenon.asp">http://www.enonymous.com/whybeenon.asp</a>					
w	CU	"Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.					
w	CV	"Abuzz's Mission", Abuzz, <a href="http://www.abuzz.com">http://www.abuzz.com</a>					
<b>Examiner</b> 				<b>Date Considered</b> w/10/04			
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Form PTO-1449 (Modified)	Atty Docket No.: 003386.P010	Serial No.: 09/754,385
List of Patents and Publications Statement (Use several sheets if necessary) Page 4 of 8	Applicant: Jonathan M. Goldberg, et al.	
	Filing Date: January 8, 2001	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

h	CW	"Always Thinking Ahead", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a>
h	CX	"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.
h	CY	"Autonomy Agentware™ Technology White Paper" <a href="http://www.agentware.com">http://www.agentware.com</a>
h	CZ	"Autonomy Knowledge Management Products", <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DA	"Autonomy Knowledge Server Data Sheet", <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DB	"Autonomy Knowledge Update Data Sheet", <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DC	"Autonomy Latest News" Autonomy Home Page, <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DD	"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DE	"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a>
h	DF	"Beehive", Abuzz, <a href="http://www.abuzz.com/home/benefits.htm">http://www.abuzz.com/home/benefits.htm</a> .
h	DG	"Beehive: Beta Program", Abuzz, <a href="http://www.abuzz.com/home/demos.htm">http://www.abuzz.com/home/demos.htm</a>
h	DH	"Beehive: White Papers", Abuzz, <a href="http://www.abuzz.com/home/white_papers.htm">http://www.abuzz.com/home/white_papers.htm</a>
h	DI	DATA SOURCES. PP K-213, 214, 217, 224, 229 and 230, 1 <sup>st</sup> Edition 1998.
h	DJ	"Digitize Your Mind", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a>
h	DK	e-Genie by Open Sesame: Your Entertainment Geniel, <a href="http://www.opensesame.com/">http://www.opensesame.com/</a> .
h	DL	<a href="http://www.email-software.com/pages/00108.htm">http://www.email-software.com/pages/00108.htm</a> , review of "Emailrobot for Exchange/SMTP".

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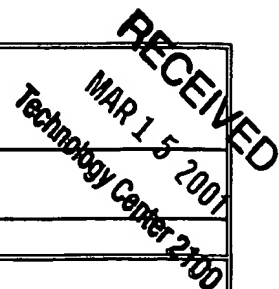
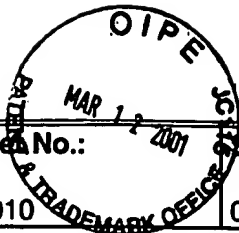
*Jonathan M. Goldberg*

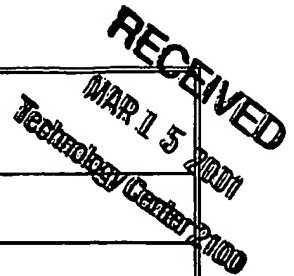
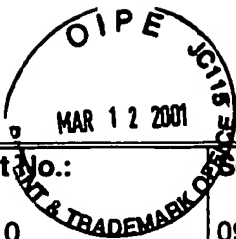
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List of Patents and Publications Statement (Use several sheets if necessary) Page 5 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
L	DM	"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence and the Eighth Innovative Applications of Artificial Intelligence Conference</i> , A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract).	
L	DN	"Free Your Mind", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a>	
L	DO	"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., <i>IEMC Proceedings</i> , pp.281-285, August 18-20, 1996 (INSPEC Abstract).	
L	DP	"IS Puts Notes to the Test", <i>Datamation</i> , Mark Schlack, vol. 37, no. 15, pp 24-26, August 1, 1991	
L	DQ	"It's Your Thought That Counts", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .	
L	DR	"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997.	
L	DS	"Knowledge is Power", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .	
L	DT	"Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998.	
L	DU	"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
L	DV	"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	
L	DW	"Knowledge Management: Great Concept...But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998.	
L	DX	"Knowledge Management's Net Gain", Kevin Jones, ZD net, inter@ctive Week, February 24, 1998.	
L	DY	PC Week "Learn Sesame gets more personal", <a href="http://www.zdnet.com/eweek/reviews/0316/16sesame.html">http://www.zdnet.com/eweek/reviews/0316/16sesame.html</a>	
L	DZ	Forbes Web Page, Forbes ASAP (04-06-98): Life Span vs. Life Spam, <a href="http://www.forbes.com/asap/98/0406/074.htm">http://www.forbes.com/asap/98/0406/074.htm</a>	
L	EA	"MAIL-MAN: A Knowledge-Based Mail Assistant for Managers", <i>Journal of Organizational Computing</i> , L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992, (abstract only).	
L	EB	"Natrifical Software Technologies Introduces The Brain" (press release), Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> , January 26, 1998.	
Examiner		Date Considered	
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List of Patents and Publications Statement (Use several sheets if necessary) Page 6 of 8	Applicant: Jonathan M. Goldberg, et al.	
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

EC	"Natrificial Software Technologies Unveils Internet Brain Publshing" (press release), Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> , June 9, 1998.
ED	"Natrificial Software Technologies", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .
EE	"Neural Agent Enable Personalized Surfing", R. Colin Johnson, CMP net TechWeb, <a href="http://www.techweb.com">http://www.techweb.com</a> , February 4, 1998.
EF	"New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), <a href="http://www.opensesame.com">http://www.opensesame.com</a> , January 20, 1998.
EG	NPTool Intro: Conclusion and Acknowledgments, <a href="http://www.lingsoft.fi/doc/nptool/intro/conclusion.html">http://www.lingsoft.fi/doc/nptool/intro/conclusion.html</a> .
EH	NPTool Intro: Nptool in Outline, <a href="http://www.lingsoft.fi/doc/nptool/intro/outline.html">http://www.lingsoft.fi/doc/nptool/intro/outline.html</a> .
EI	NPTool Intro: Overview, <a href="http://www.lingsoft.fi/doc/nptool/intro/overview.html">http://www.lingsoft.fi/doc/nptool/intro/overview.html</a> .
EJ	NPTool Intro: Performance, <a href="http://www.lingsoft.fi/doc/nptool/intro/performance.html">http://www.lingsoft.fi/doc/nptool/intro/performance.html</a> .
EK	NPTool Intro: Previous Work, <a href="http://www.lingsoft.fi/doc/nptool/intro/previous.html">http://www.lingsoft.fi/doc/nptool/intro/previous.html</a> .
EL	NPTool Intro: Syntactic Description, <a href="http://www.lingsoft.fi/doc/nptool/intro/syntax.html">http://www.lingsoft.fi/doc/nptool/intro/syntax.html</a> .
EM	NPTool Intro: Use of a Noun Phrase Parser, <a href="http://www.lingsoft.fi/doc/nptool/intro/uses.html">http://www.lingsoft.fi/doc/nptool/intro/uses.html</a> .
EN	"Open Sesame and Verity Open Doors to Personalized Software", KMWorld.com, <a href="http://www.kmworld.com">http://www.kmworld.com</a> , February 6, 1998.
EO	Open Sesame Published Papers, <a href="http://www.opensesame.com/co_02.html">http://www.opensesame.com/co_02.html</a>
EP	"Open Sesame site just works", Bill Burke, Business Today.com, <a href="http://www.opensesame.com">http://www.opensesame.com</a> , Today's Column, January 22, 1998
EQ	Open Sesame, <a href="http://www.opensesame.com">http://www.opensesame.com</a> .
ER	Open Sesame: Agent Sourcebook, <a href="http://www.opensesame.com/co_03.html">http://www.opensesame.com/co_03.html</a> .

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List of Patents and Publications Statement (Use several sheets if necessary) Page 7 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

L	ES	Open Sesame: Commitment to Privacy, <a href="http://www.opensesame.com/privacy.html">http://www.opensesame.com/privacy.html</a> .
L	ET	Open Sesame: Press; Reviews, <a href="http://www.opensesame.com/pr_02.html">http://www.opensesame.com/pr_02.html</a> .
L	EU	Open Sesame: Products/Demos, <a href="http://www.opensesame.com/product_05.html">http://www.opensesame.com/product_05.html</a> .
L	EV	Open Sesame: Products: Benefits, <a href="http://www.opensesame.com/prod_02.html">http://www.opensesame.com/prod_02.html</a> .
L	EW	Open Sesame: Products; Competitive Summary, <a href="http://www.opensesame.com/prod_03.html">http://www.opensesame.com/prod_03.html</a> .
L	EX	Open Sesame: Products; eGenie Live!, <a href="http://www.opensesame.com/prod_06.html">http://www.opensesame.com/prod_06.html</a> .
L	EY	Open Sesame: Products; Learn Sesame, <a href="http://www.opensesame.com/products.html">http://www.opensesame.com/products.html</a> .
L	EZ	Open Sesame: Products; Product Datasheet, <a href="http://www.opensesame.com/prod_04.html">http://www.opensesame.com/prod_04.html</a> .
L	FA	Open Sesame: Products; Why Personalize?, <a href="http://www.opensesame.com/prod_01.html">http://www.opensesame.com/prod_01.html</a> .
L	FB	Open Sesame: The Company, <a href="http://www.opensesame.com/company.html">http://www.opensesame.com/company.html</a> .
L	FC	Orbital Technologies: About Orbital Technologies, <a href="http://www.orbital-tech.com/about.html">http://www.orbital-tech.com/about.html</a> .
L	FD	Orbital Technologies: Corporate Fact Sheet, January 1998, <a href="http://www.orbital-tech.com">http://www.orbital-tech.com</a> .
L	FE	Orbital Technologies: Orbital Organik White Paper, July 1997, <a href="http://www.orbital-tech.com/">http://www.orbital-tech.com/</a> .
L	FF	Orbital Technologies: Organik KnowledgeWare, <a href="http://www.orbital-tech.com/organikkw.html">http://www.orbital-tech.com/organikkw.html</a> .
L	FG	Orbital Technologies: Organik Persona Server, <a href="http://www.orbital-tech.com/organikps.html">http://www.orbital-tech.com/organikps.html</a> .

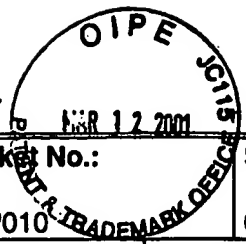
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
W	FH	Orbital Technologies: Our Focus, <a href="http://www.orbital-tech.com/">http://www.orbital-tech.com/</a> .
v	FI	Orbital Technologies: Orbital Products, <a href="http://www.orbital-tech.com/products.html">http://www.orbital-tech.com/products.html</a> .
u	FJ	<a href="http://www.email-software.com/pages/00033.htm">http://www.email-software.com/pages/00033.htm</a> , review of "Signup V2.0"
l	FK	"The Brain Press Reviews", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .
T	FL	WINMAG.COM Web Page, The Brain: Much More The Way You Think, <a href="http://www.winmag.com/library/1998/0501/rev0078.htm">http://www.winmag.com/library/1998/0501/rev0078.htm</a>
e	FM	The Technology Behind Autonomy Agentware, Autonomy Technology Page, <a href="http://www.agentware.com">http://www.agentware.com</a> .
u	FN	"The Web at the Speed of Thought", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> .
h	FO	"Topic Real-Time", Hp-UX Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.
h	FP	"What's New", Natrifical Software Technologies, <a href="http://www.natrifical.com">http://www.natrifical.com</a> , 1998.
	FQ	
	FR	
	FS	
	FT	
	FU	
	FV	
	FW	

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**Atty Docket No.:**

**3386.P010**

**Serial No.:**

**09/754,385**

**List of Patents and Publications Statement**  
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**Applicant:**

**Jonathan M. Goldberg, et al.**

**Filing Date:** January 8, 2001

# U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Class	Sub-Class	Translation
<i>th</i>	AC	WO 97/02537	23/01/1997	PCT			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

2	AD	H. Ogata, "Distributed PeCo-Mediator: Finding Partners via Personal Connections," IEEE International Conference, Vol. 1, 1996, pages 802-807
Examiner	<i>[Signature]</i>	Date Considered <i>2/18/04</i>

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